

FORM PTO-1390
(REV 10-2004)

ATTORNEY DOCKET NUMBER

6009-4734

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

TBA

10/520208

INTERNATIONAL APPLICATION
PCT/FI2003/000571INTERNATIONAL FILING DATE
July 17, 2003PRIORITY DATE CLAIMED
July 31, 2002TITLE OF INVENTION
COOLING ELEMENTAPPLICANT(S) FOR DO/EO/US
SAARINEN, Risto; HUGG, Eero and SEPPALA, Kai

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected. (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or Information: International Preliminary Report on Patentability; Notice Informing the Applicant of the Communication of the International Application to the Designated Offices; Published Application; Check in the amount of \$1040.00; Return Receipt Postcard.

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) TBA 10/520208		INTERNATIONAL APPLICATION NO. PCT/FI2003/000571		ATTORNEY'S DOCKET NO. 6009-4734	
21. The following fees are submitted:					
<input type="checkbox"/> a) Basic national fee		\$300.00	\$		
<input type="checkbox"/> b) Examination fee		\$200.00	\$		
<input type="checkbox"/> c) Search fee		\$500.00	\$		
TOTAL OF ABOVE CALCULATIONS =		\$1,000.00	\$ 1,000.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
11 - 100 =	/50 =		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	18 - 20 =		x \$50.00	\$	
Independent claims	2 - 3 =		x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$0	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =				\$1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 40.00
TOTAL FEES ENCLOSED =				\$1,040.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$1,040.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>13-4500</u> in the amount of \$_____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4500</u> 6009-4734 A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <p>I. Blum, Esq. MORGAN & FINNEGAN, LLP 3 World Financial Center New York, NY 10281</p>					
<p style="text-align: right;"><i>Iraael Blum</i> _____ Signature</p> <p style="text-align: right;">I. Blum _____ Name</p> <p style="text-align: right;">26,710 REGISTRATION NUMBER</p>					

Docket No. 6009-4734

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): SAARINEN, Risto; HUGG, Eero; SEPPALA, Kai

Group Art Unit: Not Yet Assigned

Serial No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: Herewith

For: COOLING ELEMENT

EXPRESS MAIL CERTIFICATE

Mail Stop

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Express Mail Label No.: EV 460048845 US

Date of Deposit: December 28, 2004

I hereby certify that the following attached paper(s) and/or fee

1. Transmittal letter to the US Designated Elected Office Concerning Filing Under 35 USC 371;
2. Specification 5 pp.; Claims 3 pp.; Abstract 1 pp.;
3. 2 sheets of Drawings (Figs. 1a-2)
4. Preliminary Amendment;
5. Information Disclosure Statement;
6. PTO Form 1449;
7. Published International Application No. WO 2004/011866;
8. Notice Informing the Applicant of the Communication of the International Application to the Designated Offices;
9. International Preliminary Examination Report on Patentability ;
10. Assignment and Assignment Recordation;
11. Declaration;
12. Check \$1040.00; and
13. Return Receipt Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing paper(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

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